### PATENT COOPERATION TREAL



From the INTERNATIONAL	SEARCHING AUTHORIT	Y
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## PCT

WOLF, GREENFIELD & SACKS, P.C. INVITATION TO PAY ADDITIONAL FEES Attn. Morris, James H. 600 Atlantic Avenue (PCT Article 17(3)(a) and Rule 40.1) Boston, MA 02210 UNITED STATES OF AMERICA Date of mailing (day/month/year) 09/09/2004 **PAYMENT DUE** Applicant's or agent's file reference within XXXXXXIS/days В1075.70043 ₩ОСО from the above date of mailing International filing date International application No. (day/month/year) PCT/US2004/009605 29/03/2004 Applicant C.R. BARD, INC. 1. This International Searching Authority (number of) inventions claimed in the international application covered considers that there are by the claims indicated XXX/on the extra sheet: Initials and it considers that the international application does not comply with the requirements of unity of invention (Rules 13.1, 13.2 and 13.3) for the reasons indicated (Rules 13.1, 13.2 and 13.3) for the reasons indicated (Rules 13.1, 13.2) and 13.3) for the reasons indicated (Rules 13.1 Docketing DOCKETED SEP 1 7 2004 will establish the international search report (ii) X has carried out a partial international search (see Annex) on those parts of the international application which relate to the invention first mentioned in claims Nos.: (iii) will establish the international search report on the other parts of the international application only if, and to the extent to which, additional fees are paid 2. The applicant is hereby invited, within the time limit indicated above, to pay the amount indicated below: Fee per additional invention number of additional inventions total amount of additional fees

The applicant is informed that, according to Rule 40.2(c), the payment of any additional fee may be made under protest, i.e., a reasoned statement to the effect that the international application complies with the requirement of unity of invention or that the amount of the required additional fee is excessive. have been found to be unsearchable under

Claim(s) Nos. see annex Article 17(2)(b) because of defects under Article 17(2)(a) and therefore have not been included with any invention.

Name and mailing address of the International Searching Authority European Patent Office, P.B. 5818 Patentlaan 2

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Authorized officer

Mr W. URACK 0049 30 25 901 - 718 This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-27

A catheter comprising a handle, a shaft portion coupled to a distal end of the handle, a tip portion, a braided conductive member coupled to the shaft portion and the tip portion, and a mandrel fixedly attached to the tip portion and slidably disposed within the shaft portion; wherein actuation of the mandrel expands the braided conductive member from an undeployed to a deployed position.

2. claims: 38-43

A catheter comprising a shaft portion coupled to a disatl end of the handle. a tip portion, at least a portion of the tip portion being constructed of an elastomeric material, a braided conductive member coupled to the shaft portion and the tip portion.

3. claims: 44-48

A catheter comprising a handle, a shaft portion coupled to a distal end of the handle, a conductive member coupled to the shaft portion, the conductive member fromed of a plurality of filaments, and a thermocouple wire coupled to a filament of the conductive member via a conductive junction, wherein the thermocouple wire is formed of a different ,aterial than the filament, and wherein the conductive junction is located between first and second ends of the filament.

4. claims: 54-58

A steering mechansim for a catheter, comprising a steering cable of first diameter, and an anchor disposed at a distal end of the steering cable, the anchor having a second diameter, wherein the first diameter is less than a diameter through which at least a portion of the steering cable passes and the second diameter is greater than the diameter of the lumen.

5. claims: 59-65

A method of controlling the rotational friction of a thumbwheel of a catheter handle, comprising the rotational friction on the thumbwheel by compressing a spring that contacts the thumbwheel, and decreasing the frictional friction on the thumbwheel by decompressing the spring; and a handle for use with a catheter, the handle comprising a housing, a thumbwheel coupled to the housing, a spring coupled to the housing in contact with the thumbwheel, and means for increasing compression of the spring to increase rotational friction on the thumbwheel.

- 1. The closest state of the art document US5891136, cited in the research report, discloses a catheter with a handle, shaft, tip, braided conductive member and a mandrel.
- 2. The present application has nine independent claims:-Claim 1 relates to a catheter with braided conductive member and a mandrel,

Claim 28 relates to a method of deployment (non-patentability), Claim 38 relates to a catheter with a elastomeric tip and a braided conductive member.

Claim 44 relates to a catheter with a conductive member formed of filaments, and a thermocouple wire coupled to a filament,

Claim 49 relates to a method of using a catheter (non-patentability), Claim 52 relates to a method of using a catheter (non-patentability),

Claim 54 relates to a method of disting a catheter (non-pater)

Claim 59 relates to a method of controlling the rotational friction of a thumbwheel, and

Claim 62 relates to handle for use with a catheter.

- 3. In the light of the closest prior art document, Claim 1 shows no special technical feature according to Rule 13.2 PCT. The first claim dependent on claim 1 to possess a special technical feature is claim 2, where the feature is the mandrel having two tiers with different diameters.
- 4. In the light of US5891136, independent claim 38 shows the special technical feature according to Rule 13.2 PCT of the elastomeric tip.
- 5. In the light of the closest prior art , independent claim 44 shows the special technical feature according to Rule 13.2 PCT of the coupling of the thermocouple wire to a filament.
- 6. Similarly, in the light of the closest prior art document, Claim 54 shows the special technical feature of the steering mechanism.
- 7. In the light of US5891136, independent claim 59 shows the special technical feature according to Rule 13.2 PCT of the controlling the rotational friction of a thumbwheel.
- 8. Similarly, indpendent claim 62 shows the special technical feature of a handle with a thumbwheel.
- 9. The special technical features of claim 1 (catheter with mandrel),

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independent claim 38 (elastomeric tip), independent claim 44 (thermocouple), independent claim 54 (steering mechanism) and independent claims 59 and 62 (handle with thumbwheel) show no common technical feature or inventive concept and are therefore considered to be five inventions.

10. The application relates to a plurality of inventions, or groups of inventions, in the sense of Rule 13.1 PCT. They have been divided as defined above. If the applicant pays additional fees for one (or more) not yet searched group(s) of invention(s), then the further search(es) may reveal further prior art that gives evidence of a further lack of unity 'a posteriori' within one (or more) of the not yet searched group(s). In such a case only the first invention in this (each of these) group(s) of inventions, which is considered to lack unity of invention, will be the subject of a search. No further invitation to pay further additional fees will be issued. This is because Article 17(3)(a) PCT stipulates that the ISA shall establish the International Search Report on those parts of the international application which relate to the invention first mentioned in the claims ('main invention') and for those parts which relate to inventions in respect of which the additional fees were paid. Neither the PCT nor the PCT guidelines provide a legal basis for further invitations to pay further additional search fees (W17/00, point 11 and W1/97, points 11-16).

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 206

Continuation of Box 3.

Claim(s) not searched: 28-37, 49-53

Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery

## Annex orm PCT/ISA/206 COMMUNICATION RELATING TO THE RESULTS OF THE PARTIAL INTERNATIONAL SEARCH

International Application No PCT/US2004/009605

- 1. The present communication is an Annex to the invitation to pay additional fees (Form PCT/ISA/206). It shows the results of the international search established on the parts of the international application which relate to the invention first mentioned in claims Nos.:
- see 'Invitation to pay additional fees' 2. This communication is not the international search report which will be established according to Article 18 and Rule 43.
- 3.If the applicant does not pay any additional search fees, the information appearing in this communication will be considered as the result of the international search and will be included as such in the international search report.
- 4.If the applicant pays additional fees, the international search report will contain both the information appearing in this communication and the results of the international search on other parts of the international application for which such fees will have been paid.

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	
Х	US 5 891 136 A (MCGEE DAVID ET AL) 6 April 1999 (1999-04-06)	1,14,15	
Υ	column 5, lines 35-52; figures 1,6,7	2-10, 16-22,27	
	column 10, lines 17-53		
	column 12, line 34 - column 13, line 13;		
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Χ	WO 00/67656 A (ATRIONIX INC)	1,4-6	
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	page 34, line 18 - page 35, line 11;		
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Υ	WO 94/00178 A (SCHNEIDER USA INC)	4-10,	
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$\Box$	Further documents are listed in the continuation of box C.
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X Patent family members are listed in annex.

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- O document referring to an oral disclosure, use, exhibition or other means
- P\* document published prior to the international filing date but later than the priority date claimed
- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Special categories of cited documents:

# Annex \ orm PCT/ISA/206 COMMUNICATION RELATING TO THE RESULTS OF THE PARTIAL INTERNATIONAL SEARCH

International Application No
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Category °	Citation of document, with indication, where appropriate, of the relevant page 200	Indiana in the second
uegory *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
,	US 5 681 280 A (BOWE WADE A ET AL) 28 October 1997 (1997-10-28)	1,4-6, 13-15
4	column 6, lines 1-52; figure 1 column 9, line 46 - column 15, line 18; figures 4,12-15	12,27
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4	page 6, line 15 - page 7, line 7	1,2
Y	US 5 868 706 A (COX DANIEL L) 9 February 1999 (1999-02-09)	2,3
١	column 2, lines 41-44 column 4, line 60 - column 5, line 16	1,16,17
A	EP 0 982 047 A (CORDIS WEBSTER INC) 1 March 2000 (2000-03-01) column 5, lines 6-40; figure 1 page 9, line 41 - page 10, line 21; figures 2-4	1,8-11
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